Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Signature of Client

h) i) **Policy for voluntary freezing/blocking the online access of the trading account:**

Request for freezing: Client may request for voluntary freezing / blocking the online access to their trading account through any one of the following modes that shall be made –

a. Phone No.- **+91-9310614002**
b. Email - **stoptrade@ndaindia.com**

On receipt of such request, the online access of the client's trading account shall be freezed/blocked and simultaneously all the pending orders including 'Good till date' shall be cancelled. And an acknowledgement shall be sent on their registered Mobile No. and email Id along with the pending orders. Further, details of open positions (if any) shall also be communicated to the client along with contract expiry information within such time as prescribed by regulators. This will eliminate the risk of unwanted delivery settlement.

Once the online access of the trading account is freezed / blocked such client may place orders through alternate channels such as call and trade.

Request for Un-freezing: Client may request for unfreezing / unblocking the online access to their trading account through any one of the following modes that shall be made –

a. Phone No.- **+91-9310614002**
b. Email - **stoptrade@ndaindia.com**

An email acknowledgement shall also be sent to the client informing him / her of the unfreeze request and the date by which online access shall be activated.
Detailed Policy for the same is published on the website of the company. i.e. www.ndaindia.com.

Signature of Client